



08-29-01

seater  
✓

EXPRESS MAIL CERTIFICATE

Date 8/27/01 Label No. EL 903055555 US  
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

A. DiMillo A. DiMillo  
Name (Print) Signature

Customer No.:



Docket No.: 2427/1G772-US1

07278

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Erich HOFFMANN

Serial No.: 09/844,517

Art Unit: 1645

Confirmation No.: 9063

Filed: April 27, 2001

Examiner:

For: DNA TRANSFECTION SYSTEM FOR THE GENERATION OF  
INFECTIOUS INFLUENZA VIRUS

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 649, (\$ 544 filing; \$ 40 recording; \$ 65 late)  
in the form of:  
[X] check

☐ deposit account no. 04-0100  
☐ credit card (see attached form)  
(See attached **Fee Computation Sheet**)

3. ☐ Drawings, sheets (Figs. )
4. ☒ Assignment for recording to: St. Jude Children's Research Hospital
5. ☒ Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

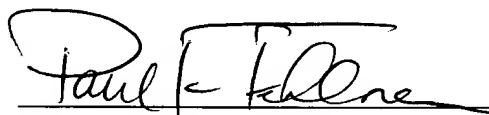
Country:	U.S.
Number:	60/200,679
Date:	April 12, 2000

The priority documents ☐ are enclosed  
☐ will follow.  
☒ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: August 27, 2001



Paul F. Fehlner, Ph.D.  
Reg. No. 35,135  
Attorney for Applicant(s)

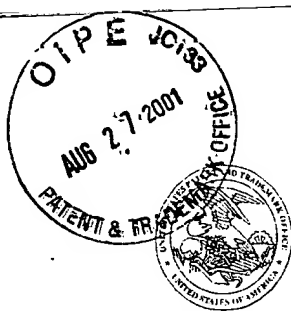
DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

Serial No. 09/844,517

Docket No. 2427/1G772-US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$710.00
Total Claims	41 - 20	- 0 = 21	x \$18.00	\$378.00
Independent Claims	3 - 3	- 0 = 0	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$1218.00
[X] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$609.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$649.00



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,517	04/27/2001	Erich Hoffmann	2427/1G772-US1

CONFIRMATION NO. 9063

## FORMALITIES LETTER



\*OC000000006235318\*

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

Date Mailed: 06/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$378:

- \$378 for 21 total claims over 20.

08/30/2001 SZEUDIE1 00000013 09844517

01 FC:201	355.00 02
02 FC:203	189.00 02
03 FC:205	65.00 02


- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1218.**

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE